

# Finding the Genomic Basis of Local Adaptation: Pitfalls, Practical Solutions, and Future Directions

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Submitted February 4, 2016; Accepted May 26, 2016; Electronically published August 15, 2016

Online enhancements: appendix.

**ABSTRACT:** Uncovering the genetic and evolutionary basis of local adaptation is a major focus of evolutionary biology. The recent development of cost-effective methods for obtaining high-quality genome-scale data makes it possible to identify some of the loci responsible for adaptive differences among populations. Two basic approaches for identifying putatively locally adaptive loci have been developed and are broadly used: one that identifies loci with unusually high genetic differentiation among populations (differentiation outlier methods) and one that searches for correlations between local population allele frequencies and local environments (genetic-environment association methods). Here, we review the promises and challenges of these genome scan methods, including correcting for the confounding influence of a species' demographic history, biases caused by missing aspects of the genome, matching scales of environmental data with population structure, and other statistical considerations. In each case, we make suggestions for best practices for maximizing the accuracy and efficiency of genome scans to detect the underlying genetic basis of local adaptation. With attention to their current limitations, genome scan methods can be an important tool in finding the genetic basis of adaptive evolutionary change.

**Keywords:** local adaptation, genome scans,  $F_{ST}$  outliers, differentiation outlier, genetic-environment association, genetics of adaptation.

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Am. Nat. 2016. Vol. 188, pp. 000–000. © 2016 by The University of Chicago. 0003-0147/2016/18804-5677\$15.00. All rights reserved.

DOI: 10.1086/688018

## Introduction

Local adaptation occurs when organisms have higher average fitness in their local environment compared to individuals from elsewhere (Williams 1966; Kawecki and Ebert 2004; Blanquart et al. 2013; Savolainen et al. 2013). Environments are often variable across space and time, and local conditions determine which traits will be favored by natural selection. As natural selection acts on phenotypic traits, changes in allele frequencies of genetic loci underlying those traits can shift the population toward a local optimum. Over time, natural selection acting in different locations can lead to adaptive divergence of trait means and allele frequencies.

Although much can be learned about adaptive processes without knowledge of the specific loci, in many instances, identifying the genetic features underlying local adaptation is a critical step in addressing fundamental questions in evolutionary biology and natural selection (Rauscher and Delph 2015). For example, determining whether natural selection acts primarily on standing variation or on new mutations, discovering whether common genetic solutions evolve in parallel across populations, and identifying the distribution of effect sizes of adaptive loci and their nature (e.g., regulatory, coding, structural) all require knowledge of the specific genetic loci responsible for the traits. In addition, identifying genetic regions involved in local adaptation can also assist breeding programs in agriculture, forestry, and fisheries and can improve management decisions for threatened species by predicting the performance of a genotype in a new en-

vironment (Funk et al. 2012; Aitken and Whitlock 2013; Li et al. 2014; Yoder et al. 2014; Lasky et al. 2015; Hamilton and Miller 2016). In cases where ecological divergence among populations is driving the evolution of reproductive isolation, identifying the loci underlying local adaptation may help elucidate the process of speciation (Clausen 1951; Coyne and Orr 2004; Rundle and Nosil 2005).

The classic approach for demonstrating local adaptation relies on quantifying fitness or phenotypes in common garden or reciprocal transplant experiments (Anderson et al. 2010; Fournier-Level et al. 2011; Savolainen et al. 2013). Numerous reciprocal transplant experiments over the past 75 years have demonstrated that local adaptation is common (Clausen et al. 1940; Leimu and Fischer 2008; Hereford 2009). Common garden experiments and provenance trials can also establish that observed phenotypic differences between populations are heritable (and, potentially, adaptive) rather than plastic. However, these experiments require space, time, and resources that may not be feasible for many species.

Several methods have recently been developed to identify the specific genes and alleles that may be responsible for local adaptation (table 1). Each method comes with benefits and liabilities, and deciding which method is most ap-

propriate partly depends on existing knowledge of a given system. For example, if some of the traits responsible for local adaptation are already known, the genetic regions underlying variation in those traits can be identified with linkage mapping or genome-wide association approaches, as reviewed elsewhere (e.g., Savolainen et al. 2013; Vinkhuyzen et al. 2013; Robinson et al. 2014). In cases where selection on new mutations or recently introduced alleles has been rapid, the genomic signatures of selective sweeps can be used to identify genomic regions with population-specific signatures of selection (table 1; selective sweeps reviewed in Hohenlohe et al. 2010).

Here, we focus on two types of genome scan methods that have recently become widely used to test for loci under selection, particularly for nonmodel organisms: genetic-environment association analyses and differentiation outlier methods. While these two methods hold exciting potential, they also suffer from significant challenges.

When studying wild populations, researchers may know (or hypothesize) which environmental axes are important in generating spatial variation in selection that leads to local adaptation. Genetic-environment association methods seek to identify alleles whose frequencies have unusually strong correlations with environmental variable(s), which

**Table 1:** Approaches to identifying loci involved in local adaptation

Approach	Data collected/resources required	What analysis reveals	Review articles/programs
Genetic differentiation outlier tests	Genome-wide SNPs from multiple populations	Allele frequencies for a SNP or SNPs that are differentiated across populations above what is expected from neutrality	See table A1
Genetic-environment association	Genome-wide SNPs from multiple populations and environmental data for each population	Alleles at a SNP or SNPs that are associated with environmental variables over space	See table A1
QTL mapping in a reciprocal transplant field experiment	Hybrids ( $F_2$ s, BCs, RILs, etc.) between locally adapted populations grown and phenotyped for fitness traits in reciprocal transplant common garden experiment	Use of hybrids allows identifying QTLs involved in local adaptation and the effect size of those QTLs on fitness; can resolve whether trade-offs at individual loci underlie local adaptation	Reviewed in Anderson et al. 2011; Savolainen et al. 2013
GWAS	Genome-wide SNPs from hundreds of individuals grown in one or multiple common gardens; phenotypes and/or fitness for each individual	Identifies SNPs that are associated with traits associated with fitness measured under field conditions	Key example study: Fournier-Level et al. 2011; commonly used: TASSEL (Bradbury et al. 2007); EMMA (Kang et al. 2008); GCTA (Yang et al. 2011)
Population-specific selective sweeps	Genome-wide SNPs from at least two populations and a recombination map	DNA sequences with longer-than-expected regions of extended haplotype homozygosity, which is consistent with a recent selective sweep in one of the populations	XP-EHH (Sabeti et al. 2007); hapFLK (Fariello et al. 2013)

Note: SNP = single nucleotide polymorphism; QTL = quantitative trait loci; BC = backcrosses; RIL = recombinant inbred lines; GWAS = genome-wide association studies; EMMA = efficient mixed-model association; GCTA = genome-wide complex trait analysis.

suggests the loci are involved in local adaptation. Several methods have been developed to look for such associations (table A1; tables A1–A3 available online).

In other situations, researchers may not know which environmental axes or traits are important for local adaptation but may still aim to identify loci that show patterns of local adaptation. Alleles involved in local adaptation should occur at higher frequency where they increase fitness and be at lower frequency where they decrease fitness. Therefore, it may be possible to identify loci involved in local adaptation by screening for alleles that show greater-than-average genetic differentiation among populations (Lewontin and Krakauer 1973; Beaumont 2005). Such methods typically quantify genetic differentiation by a measure such as  $F_{ST}$  and are commonly referred to as  $F_{ST}$  outlier approaches (table A1). However, because other measures of genetic differentiation are sometimes employed (e.g.,  $X^T X$ : Günther and Coop 2013; DD: Yant et al. 2013;  $\pi_{XY}$ : Nei and Li 1979;  $G$ : Magwene et al. 2011; SPA: Yang et al. 2012), we refer to these approaches as differentiation outlier methods.

These two approaches—genetic-environment associations and differentiation outlier methods—show promise for application to a broad range of species. However, both approaches should be pursued with caution and viewed as a subset of many possible tools used to study adaptive processes. We discuss four major challenges and possible solutions: (1) population structure and demography that can lead to incorrect inference, (2) missing genomic information arising from the genotyping approach or quality-control assumptions, (3) inappropriate or missing environmental information, and (4) other statistical challenges. We conclude with a cautious but hopeful outlook on improving methods, inference, and integration of these approaches into cohesive research programs.

### Mixed Signals: Confounding Effects of Demography and Population Structure

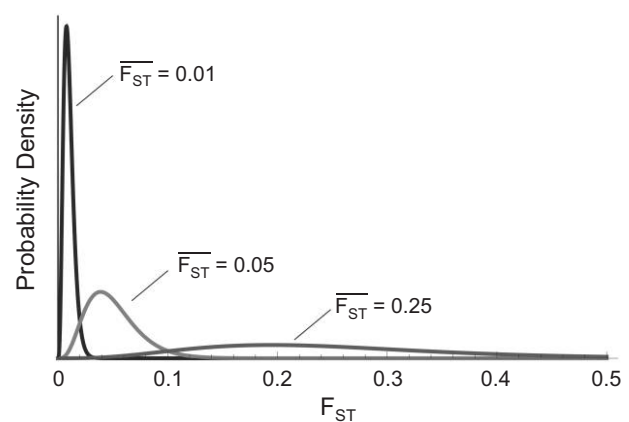
#### *Demography and Genetic Differentiation Approaches*

Demographic history can cause loci to vary dramatically in their spatial genetic differentiation, even if none experience selection and all are under the same influence of genetic drift and migration. Loci experiencing local selection must be distinguished from the distribution of possible neutral patterns of differentiation (i.e., the null distribution). The difficulty in detecting selection by focusing on departures from neutrality is that random processes affect each locus differently, and the distribution of differentiation measures depends on the focal species' population structure and demography. This is particularly problematic when the average level of differentiation is high, because even with selective neutrality, the variance in  $F_{ST}$  values among loci increases with average  $F_{ST}$ ,

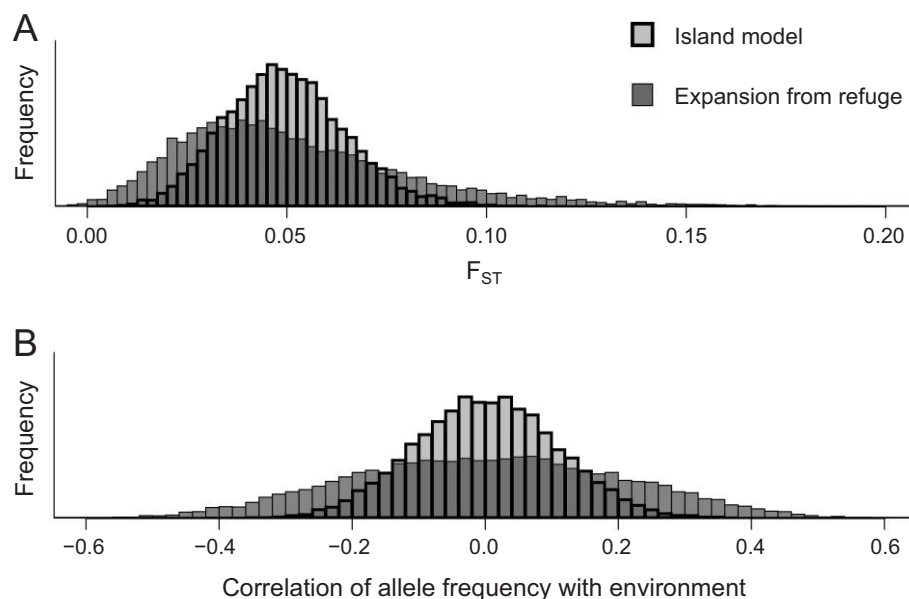
which makes detection of outlier loci difficult for highly differentiated populations (fig. 1).

Even when the average level of differentiation is low, demography can affect the variability of the  $F_{ST}$  distribution. Figure 2A shows a simulated example, contrasting the distribution of  $F_{ST}$  of neutral loci under an island model (i.e., a model without spatial autocorrelation of allele frequencies) and a scenario with distance-limited dispersal and population expansion. The average  $F_{ST}$  is similar in both scenarios; however, the distribution of  $F_{ST}$  is much narrower for the island model than for the more realistic scenario. Given that the island model is the assumed null model of some differentiation outlier methods (see below), a large number of loci will be falsely identified as under selection by these methods.

Some demographic processes can lead to particularly idiosyncratic effects at individual loci. For example, some loci display false signatures of selection as a result of range expansion due to a phenomenon known as allele surfing (Curat et al. 2006; Travis et al. 2007; Excoffier et al. 2009a). Allele surfing occurs when populations on the leading edge of the expansion are small, and individuals from those populations contribute disproportionately to the propagating wave of expansion. This may cause rapid drift of some alleles at the expanding edge and high differentiation in allele frequencies over the landscape for some loci, even in the absence of selection. Another demographic process that can lead to idiosyncratic effects at individual loci is hybridization and introgression from related taxa (e.g., Gerales et al. 2014; Fraïsse et al. 2016), which could confound genetic-environment associations and differentiation outlier methods focused on one species (Kane et al. 2009; Nachman and Payseur 2012; Brandvain et al. 2014; Bragg et al. 2015).



**Figure 1:** Distributions of  $F_{ST}$  under an island model with large samples from 10 populations for mean  $F_{ST}$  equal to 0.01, 0.05, or 0.25, respectively. The variance of the distribution of  $F_{ST}$  over neutral loci is greater when the mean  $F_{ST}$  is larger. If the mean  $F_{ST}$  is large, a locus must have an  $F_{ST}$  with an extremely large value to be in the tail of the distribution. A color version of this figure is available online.



**Figure 2:** Details of the demographic pattern and history of a population can affect the distribution of signatures of spatially heterogeneous selection. *A*, The distribution of  $F_{ST}$  is plotted over replicate loci. *B*, The distribution of the correlation coefficient between allele frequency and an environmental variable is plotted. In both panels, all loci are truly neutral, and the differences between loci reflect chance deviations caused by biological and statistical sampling. In both panels, results shown with a thick line are from a population whose demography is well described by the island model. The thin line results come from a population that has recently expanded from a refuge with distance-limited dispersal. There are far more loci in the tails of the distributions for the refugia model than the island model, despite the fact that their mean  $F_{ST}$ s are nearly identical. Simulated data are from Lotterhos and Whitlock (2015). A color version of this figure is available online.

#### *Spatial Autocorrelation and Genetic-Environment Associations*

Neutral population genetic and demographic processes can generate correlations between the environment and genotype that are similar to those that arise from local adaptation. For example, northward expansion from a glacial refuge may generate clines in neutral allele frequencies at some loci from south to north, which by chance coincide with an environmental gradient, such as temperature. Even after the population reaches equilibrium, if dispersal is distance limited, the allele frequencies will be spatially autocorrelated—nearby populations will be more genetically similar than distant populations (i.e., isolation by distance [IBD]; Wright 1943). Spatial autocorrelation in environmental variables is also likely because habitats are generally more similar over close distances than long distances. Because nearby locations are not statistically independent, strong correlations between neutral alleles and environmental variables are more likely to occur by chance than expected with some null models. The example in figure 2*B* shows many more strong correlations between neutral allele frequencies and environmental factors in scenarios where dispersal is spatially limited than in an island model scenario. As with differentiation outlier methods, correlation analyses that assume each population is independent of its neighbors will have high false positive rates.

#### *Locus-Specific Effects: Background Selection and Simultaneous Sweeps*

Spatially uniform selection can generate genetic differences among populations that do not result in local adaptation. For example, deleterious alleles reduce fitness of their carriers regardless of local environmental conditions. Neutral alleles linked to those deleterious mutations are affected by stronger genetic drift than genetic markers that are farther from selected sites (background selection; Charlesworth et al. 1993). As a result, neutral sites near loci experiencing purifying selection will have greater variance in their contributions to future generations. This increase in genetic drift may cause stronger differentiation among populations for loci linked to sites experiencing purifying selection (Charlesworth and Charlesworth 1998; Hu and He 2005)—a pattern that can be mistaken for evidence of local adaptation (Cruickshank and Hahn 2014). In addition, such differentiation may by chance be correlated with the distribution of environmental factors over geographic space. Thus, coding regions may show higher  $F_{ST}$  than intergenic areas (Cruickshank and Hahn 2014) and may be enriched for genetic-environment associations (as has been observed empirically by Lasky et al. 2012) because deleterious mutations are being selected against in all environments (purifying selection) and not because advantageous

mutations are being selected for in some environments (local adaptation).

As a result of background selection, loci in polymorphic inversions may show higher  $F_{ST}$  than loci without structural rearrangements because inversions suppress meiotic recombination (Hoffmann and Rieseberg 2008). Although some have argued that single nucleotide polymorphism (SNP) correlations with environmental variables within inversions are difficult to explain under a model of background selection (Pyhäjärvi et al. 2013), explicit tests of how much bias is caused by background selection in association tests and differentiation methods have not been conducted. This is an important area for future research, as background selection is increasingly recognized as an important force shaping genomic diversity (James et al. 2016; Renzette et al. 2016), and theory suggests that demographic inference can be biased if background selection is not accounted for properly (Ewing and Jensen 2016).

Another example that can generate genetic differences among populations that resemble local adaptation is spatially uniform positive selection. If an optimal phenotype can be achieved by more than one mutation and migration is sufficiently limiting, different beneficial mutations may arise to solve the same problem in multiple geographic locations (Ralph and Coop 2015). This leads to patchy geographic distribution of alleles despite spatially uniform selection, a pattern that might be mistaken for local adaptation to patchy environments. Moreover, it is also possible for a single selected mutation to arise on different haplotypes in different populations that are experiencing the same selective pressure (soft sweeps; reviewed in Messer and Petrov 2013). This can also generate a patchy geographic distribution of haplotypes that may be mistaken for local adaptation. Recent theoretical work suggests that soft sweeps may be common (Pennings and Hermisson 2006; Ralph and Coop 2010, 2015; but see Jensen 2014).

In both cases—purifying selection and positive selection—linked selection either via background selection or hitchhiking can lead to higher among-population differentiation as measured by  $F_{ST}$ , because  $F_{ST}$  is also sensitive to the reduction in within-population variation caused by such selection (Cruickshank and Hahn 2014).

#### *Solutions: Controlling for Demographic History*

Approaches to address the confounding effects of demography and drift fall into two major categories (table A1): (1) using null models based on the inferred demographic history of the species and (2) using relatedness among sampled populations to correct for neutral population structure in the data. The former is likely to have high false positives if the true demography does not match the assumed demogra-

phy, while the latter is more flexible and generally less biased as long as a genome-wide random set of loci accurately captures the covariance or relatedness among samples.

*Demographic Null Models.* One approach for accounting for the neutral population structure of a sample requires specifying an explicit demographic model and using simulations to generate a null distribution of a test statistic against which the observed data can be compared. Early methods for differentiation outlier detection assumed that the study system followed the island model (assumed by *fdist2* [Beaumont and Nichols 1996]; table A1) or a multinomial Dirichlet distribution (e.g., *BayeScan* [Foll and Gaggiotti 2008]; table A1). These methods have very high false positive rates under IBD or other more complex demographies (de Villemeireuil et al. 2014; Lotterhos and Whitlock 2014). A reasonable alternative is to model a specific demography, which can be used as long as the species' true structure can be accurately captured by the model (e.g., hierarchical island model [Excoffier et al. 2009a; Hofer et al. 2012] or two-refugia model [Eckert et al. 2010]). However, if the modeled demography does not match the study species' true demographic history, this mismatch may increase both type I and type II error rates (Pérez-Figueroa et al. 2010; Narum and Hess 2011; Vilas et al. 2012; De Mita et al. 2013; Jones et al. 2013; de Villemeireuil et al. 2014; Lotterhos and Whitlock 2014). Additionally, demographic null models accounting for introgression, admixture, and other complex processes have not been developed.

*Sample Covariance/Relatedness.* Some methods use the observed genetic data to estimate a measure of covariance or relatedness among samples (populations or individuals) and use this measure to correct for neutral structure. There are multiple ways to characterize these covariances, such as covariance in allele frequencies among populations (Coop et al. 2010; Günther and Coop 2013; Gautier 2015; table A1), a treelike population history (Bonhomme et al. 2010), and relatedness or other latent factors in a mixed model. These approaches require that most of the data come from loci not subject to strong spatially heterogeneous selection. Having a high proportion of selected loci relative to neutral loci in the data set can affect the results (e.g., >10% in Lotterhos and Whitlock 2014), but this needs to be more thoroughly investigated.

The covariance approach uses a subset of variable loci to estimate the sample covariance in allele frequencies among populations and may use simulations based on the covariance matrix to generate a null distribution (Gautier 2015). The population tree approach uses the data from many SNPs to estimate a tree of genetic divergence among populations (assuming no migration but accounting for shared ancestry

of closely related populations) and then simulates data based on these trees to generate a null distribution (Bonhomme et al. 2010). On simulated data sets, these methods have reduced or similar false positive rates compared to methods based on demographic null models but still have somewhat elevated false positive rates under a range expansion scenario (De Mita et al. 2013; Lotterhos and Whitlock 2014).

The linear model approach typically captures patterns of neutral population structure by incorporating relatedness, principal components (Price et al. 2006), or other matrix factorizations (Engelhardt and Stephens 2010) of the genotype matrix as random factors, latent factors, or covariates in a model. Incorporating population structure with latent factors can improve the accuracy of both genetic-environment association and differentiation outlier tests (Frichot et al. 2013; Duforet-Frebourg et al. 2014; Lotterhos and Whitlock 2015).

Covariance and linear model approaches have no explicit underlying population demographic model and, therefore, have great flexibility, albeit at the possible expense of some statistical power because (unknown) selected loci are typically included in the data set used to generate the null model. However, these approaches still make assumptions that may imperfectly describe the true null distribution in cases with idiosyncratic locus effects, such as background selection or allele surfing. To account for this, some authors take careful steps to match SNPs used to calculate the covariance matrix to the SNPs used in the test for adaptation (Berg and Coop 2014). Although a number of simulation studies have compared the power of different approaches (Pérez-Figueroa et al. 2010; Narum and Hess 2011; Vilas et al. 2012; De Mita et al. 2013; Jones et al. 2013; de Villemereuil et al. 2014; Forester et al. 2015), only a few have captured effects of allele surfing (Lotterhos and Whitlock 2014, 2015) and none have included background selection.

*Other Models.* Newer methods may better capture the effects of neutral but idiosyncratic loci (see table A1). A recent approach uses maximum likelihood to generate parameters of the  $F_{ST}$  distribution in the absence of selection (Whitlock and Lotterhos 2015). Other alternative approaches include using permutations (Jones et al. 2012) or putatively neutral loci (Akey et al. 2002; Fullerton et al. 2002; Elhaik 2012) to create an empirical null distribution (table A1). In the latter case, if the neutral loci used to generate the null distribution are not subject to selection of any form, they may be less affected by background selection and, therefore, not correct for that alternative hypothesis. These methods have yet to be widely tested by simulations but, along with covariance, population tree, and linear model methods, will likely improve identification of the loci underlying local adaptation.

## The Missing Genome: Current Genotyping and Sequencing Approaches May Fail to Identify Key Loci

### *Genotyping Approaches*

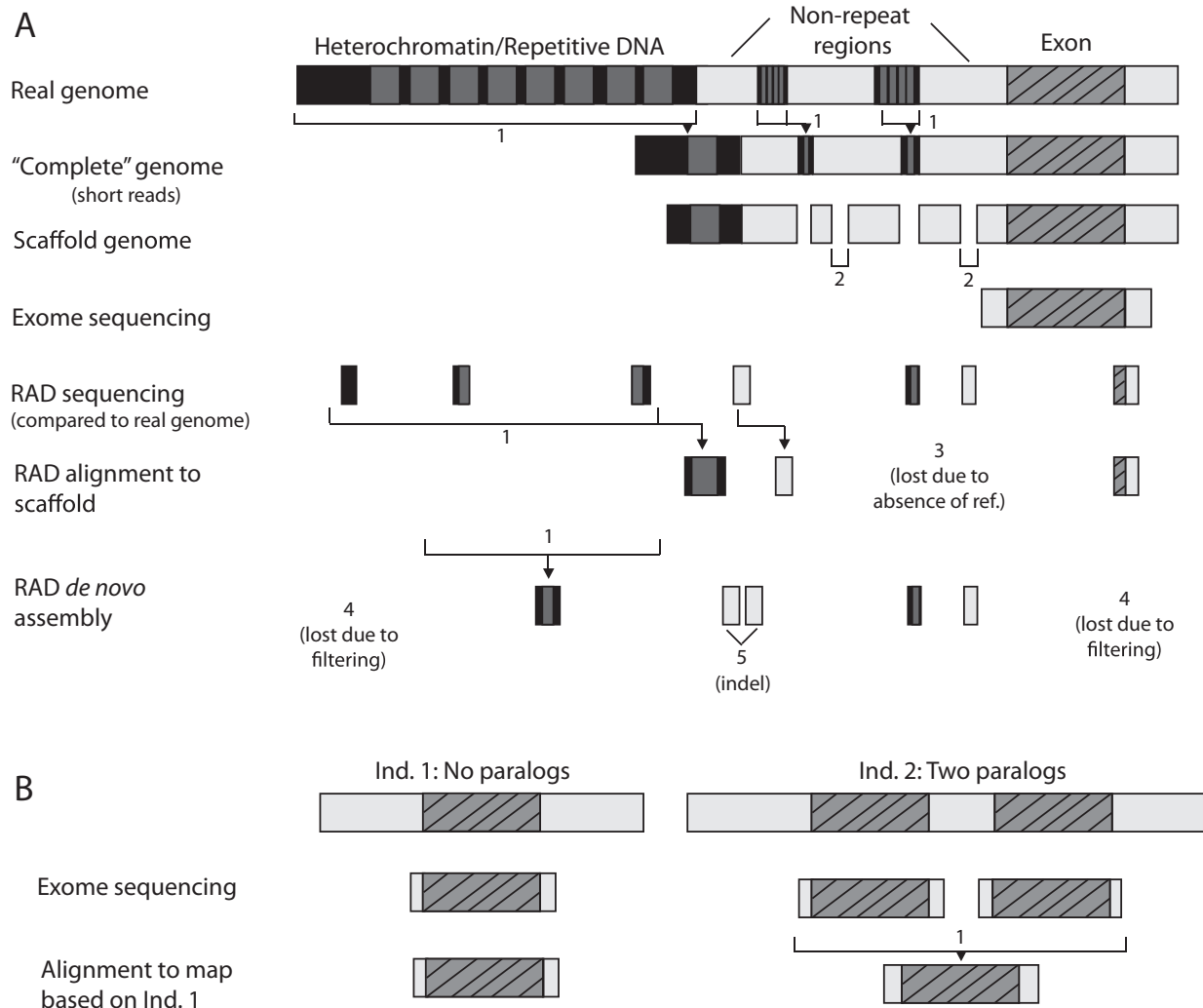
All widely used sequencing methods, particularly those that are relatively inexpensive, ascertain reliable sequences for only a fraction of the genome. Consequently, many of the genomic regions that may be involved in local adaptation may be missed in typical sequencing studies. Sparsely distributed fragment-based markers (e.g., microsatellites) are inadequate to identify adaptive loci (reviewed in Tiffin and Ross-Ibarra 2014). Even DNA genotyping chips and reduced representation methods (e.g., restriction site-associated DNA sequencing [RAD-seq]) are often too sparse to detect adaptive loci. As whole genome resequencing becomes accessible to nonmodel systems, there are greater opportunities to identify loci under selection (Cheng et al. 2012; Long et al. 2013). Even with such methods, however, some important genomic regions may be difficult to assess.

Most genomic studies of nonmodel systems currently use reduced-representation methods (defined as anything less than whole genome resequencing; table A2). One set of such methods targets transcribed regions, such as RNA sequencing (RNA-seq; which relies on sequencing transcript pools; no a priori sequences needed) and exome capture (which uses probes designed from known sequences to obtain sequence from exons). Because these approaches focus on coding regions, they may be more successful in identifying putatively adaptive loci. However, unless linkage disequilibrium is high between regulatory and coding regions, these methods may fail to capture variation involved in gene regulation—such as enhancers and copy number variation—that may underlie the majority of adaptive phenotypic variation (Wray et al. 2003; Perry et al. 2007; Rockman 2012; Stern and Orgogozo 2008). Exome capture approaches require a large initial investment in capture probe design (Bamshad et al. 2011; Schlötterer et al. 2014; Jones and Good 2016). RNA-seq may not be representative of the whole exome because experiments may miss genes with low expression levels, expressed in unsampled tissues or not expressed in the measured condition. Additionally, allele frequencies estimated from pooled RNA-seq samples may be biased by allele-specific expression (Schlötterer et al. 2014; Steige et al. 2015). Despite these challenges, RNA-seq methods are a reasonable approach for genotyping to detect local adaptation in at least some loci for a species with a large unassembled genome (Kozak et al. 2014; Schlötterer et al. 2014).

A second set of methods for reduced representation uses restriction enzymes to isolate small pieces of DNA (such as restriction-associated digest sequencing [RAD-seq] or genotyping by sequencing [GBS; reviewed in Puritz et al. 2014b; Andrews et al. 2016]; table A2). These methods are useful

for building genetic linkage maps and establishing neutral patterns of population structure (Andolfatto et al. 2011; Elshire et al. 2011). However, these SNPs are only a small proportion of the genome, and any gene that is not closely linked to a marker will be missed. For example, a 6-bp cutter

will cut approximately every 4,096 bases with 50% guanine-cytosine content in the genome (Schweyen et al. 2014; Andrews et al. 2016), and the corresponding RAD-seq library would capture only ~5% of the genome (a relationship that scales with genome size)—an optimistic estimate because many



**Figure 3:** Genomic information can be lost and errors can accumulate during assembly, alignment, and reduced-representation sequencing. *A*, The complete reference genome largely excludes highly repetitive regions such as heterochromatin and other repeats, due to the difficulty of sequencing and assembling highly repetitive regions (1). The collapse of repetitive elements is a problem across most genome assembly methods, although long reads can help resolve some repetitive regions (not shown). A scaffold genome uses short-paired reads to stitch together contigs into scaffolds by indicating that an unknown chunk of sequence exists between the two known linked pieces (2); however, significant portions of nonrepetitive sequence, along with repetitive regions, will remain unassembled in a scaffold genome. The raw data from exome sequencing will include the exons and flanking regions, while the restriction site-associated DNA sequencing (RAD-seq) method will produce short fragments from throughout the genomes. Exome sequencing will miss potentially functional noncoding polymorphisms that are not in close physical proximity to an exon. RAD-seq can, however, potentially capture noncoding polymorphisms, but the data is sparse. In the alignment of RAD-seq to a scaffold, some tags may be lost in alignment due to missing homologous sequences in the reference genome (3). *De novo* assemblies of RAD-seq data produce only very short, unordered contigs; some RAD loci may be lost during assembly due to low coverage or filtering (4), while others that contain indels may be incorrectly assembled into different RAD loci (5). *B*, Variation in the number of gene duplicates can lead to loss of information about the paralogous copies. If individual 1 is used to generate the reference genome, the paralog may be missed and collapsed in individual 2, unless read depth information is used in conjunction with mapping. Divergence among paralogous copies may lead to incorrect identification of heterozygous sites in individual 2. A color version of this figure is available online.

loci would be lost to low coverage or filtering (fig. 3). Tiffin and Ross-Ibarra (2014) showed that only a small proportion of the strongest selective sweeps will be detected even when SNPs are ascertained every 5,000 bases in humans (linkage disequilibrium [LD] decay  $\sim$  10 kilobases [kb]) or every 1,000 bases in species with higher recombination rates (LD decay  $\sim$  1 kb). Thus, restriction enzyme–based methods alone are likely to fail to detect most of the loci involved in local adaptation, except where linkage disequilibrium is extensive, such as in chromosomal inversions (e.g., Twyford and Friedman 2015). In addition, some widely used de novo assembly programs for RAD loci may incorrectly assemble a RAD locus that contains insertions and/or deletions (indels) into different loci (fig. 3; but see Puritz et al. 2014a for a pipeline that can handle indels).

The number and type of markers used in a study will not only affect the proportion of the genome sampled, but it will also influence the calibration of the null distribution. Using a few hundred SNPs may suffice to estimate population structure, but for some methods, it is not enough to create a well-calibrated null distribution for testing selection with genome scans. Conversely, a large number of loci (i.e., millions) are unlikely to be completely independent because of linkage, and the effect of nonindependence of loci in large data sets on the performance of these methods has not been assessed. Furthermore, using a biased set of loci (i.e., a large proportion of candidate genes) will also bias the null distribution and decrease power, because these genes are most likely to have high differentiation or correlations with the environment.

An efficient alternative to reduced representation approaches, which can allow for sequencing whole genomes of moderate size, is to pool individuals from the same population and label them with the same barcode. This pool-seq approach can be a cost-effective means to conduct whole-genome outlier analyses (Cheng et al. 2012; Zhu et al. 2012). Pool-seq can be powerful, provided that a good experimental design is implemented, with a large number of individuals ( $>100$ ) sequenced per population and high read depth ( $>100$ -fold) per pool (Schlötterer et al. 2014). In a pool-seq experiment, it is also important that pooled individuals come from a genetically homogeneous population; cryptic population structure will lead to incorrect population allele frequency estimates. Unbalanced contributions of DNA to the pool could also lead to incorrect allele frequency estimates.

#### *Genome Structural Variation*

Genome structural variation involves any change in the length (insertions and deletions) or relative organization (e.g., inversions, translocation, copy-number differences) of a genome. Repetitive regions and transposable elements can increase the rate of mutations causing structural vari-

ation (Hardison et al. 2003; Vitte et al. 2014). Structural variants are known to be involved in local adaptation, including copy number variation (Perry et al. 2007; Prasad et al. 2012a), inversions (Lowry and Willis 2010; Kunte et al. 2014), and transposon insertions (González and Petrov 2009; Casacuberta and González 2013). Because reference genomes are usually based on one individual and because most genome assembly methods collapse repetitive elements, reference genomes do not contain all the segregating structural variation present within a species (Tiffin and Ross-Ibarra 2014; Bragg et al. 2015; fig. 3). Also, many structural variants will be missed by standard genotyping programs (fig. 3), although new software for detecting structural variants exists (see Lin et al. 2015 for available approaches and software packages). Accurate ascertainment of structural variants among individuals is a major challenge (McCarroll and Altshuler 2007).

#### *Solutions*

No silver bullet exists to solve the challenges involved with genotyping, but various strategies can help minimize their impact. For example, sequencing samples to a reasonable read depth can minimize genotyping error for individuals ( $>10$ -fold read depth [Han et al. 2014; although see also Korneliusen et al. 2014]) and for pooled samples ( $>100$ -fold read depth [Schlötterer et al. 2014]). Because using different variant caller programs or different settings within a program can affect results (Cantarel et al. 2014; Lin et al. 2015; Nevado and Pérez-Enciso 2015), it is prudent to use stringent filtering of identified variants so that only sites with the greatest confidence are included in analyses.

Developing a reference genome can greatly improve the inference of the genetic basis of local adaptation. An assembled reference genome ensures more accurate genotyping with fewer errors by providing a reference for sequence reads to be mapped, and SNPs called for using a variety of bioinformatic tools (e.g., BWA [Li and Durbin 2009], GATK [McKenna et al. 2010], and SAMTools [Li et al. 2009]). A reference genome also allows for haplotype structure assessment and, in turn, analyses of signatures of selective sweeps (Maynard Smith and Haigh 1974; Gibson et al. 2006; Sabeti et al. 2007; see table 1). An annotated reference genome also allows researchers to identify the location of candidate adaptive variants in relation to genes and regulatory regions, to facilitate interpretation of results and downstream assays to confirm putative function.

A reference genome assembly can be constructed de novo from high-throughput sequence data. However, assembly errors are common, and, even with the same input data, alternative assembly algorithms and assembly parameters can result in different assemblies (Earl et al. 2011). Multiple methods should be implemented in parallel and compared



to achieve the best assembly (Earl et al. 2011; Bradnam et al. 2013). In addition, reference genomes based on short-read technologies can be greatly improved by including some data from longer-read platforms to generate a hybrid genome assembly (English et al. 2012; Koren et al. 2012). Genome assembly can also be greatly improved using genetic mapping populations to create a linkage map (Fierst 2015) and/or through optical mapping that places scaffolds on chromosomes (Sharma et al. 2013; Tang et al. 2015a, 2015b; VanBuren et al. 2015).

The use of multiple reference genomes that are representative of the variation in a focal taxon, as well as advances in the alignment of repetitive regions, will help alleviate these problems. Indeed, long reads from the Pacific Biosciences Single Molecule, Real-Time (SMRT) platform currently produce highly connected de novo assemblies with low error rates (Berlin et al. 2015; English et al. 2015; Pendleton et al. 2015; VanBuren et al. 2015). In the near future, the use of multiple reference genomes and de novo assemblies from long reads (>1 kb) will allow for detection of a more complete complement of structural variants (Tiffin and Ross-Ibarra 2014; VanBuren et al. 2015).

Even with a reference genome, repetitive regions and paralogs may be missed, inaccurately assembled, or eliminated by quality-control pipelines because the canonical reference genome is not representative of the population (fig. 3). Reproducibility across studies can be hampered because bioinformatic SNP-calling pipelines applied to the same raw data sets can result in different sets of called SNPs depending on the stringency of filtering parameters (Cantarel et al. 2014; Nevado and Pérez-Enciso 2015). Despite these challenges, having a reference genome can greatly improve inference.

### The Missing Landscape: Spatial and Temporal Scales in Environmental Variables

Accurate detection of locally adapted loci using genetic-environment association methods depends on accurate measures of the aspects of the ecological landscape that affect fitness. Low-resolution environmental data may reduce the accuracy of results even if the selective environment is known. Knowing the dispersal ecology of the study organism can guide the optimal spatial scale, resolution, and extent of sampling (Addicott et al. 1987; Wagner and Fortin 2005; Anderson et al. 2010). The resolution of the environmental data must be fine enough to characterize each local population well (Anderson et al. 2010; DeFries and Rosenzweig 2010). Temporal considerations are also important. For example, WorldClim data (<http://www.worldclim.org/>) include minimum and maximum temperatures, but these are averaged over several months, and the important selective forces for a given organism may actually be the date when

temperature crosses a key threshold (e.g., in coral bleaching; Brown 1997). Such brief but critical environmental events might be averaged away over several days or months of data. Specific issues regarding the resolution of the ecological landscape have recently been discussed in a review by Rellstab et al. (2015).

The lack of available geographic information system layers and weather station data can limit the number of putative abiotic agents of selection that can be tested. Moreover, multicollinearity among landscape variables may lead to incorrectly identifying an environmental variable as the true cause of selection when genetic patterns and adaptation are actually caused by a correlated environmental variable. For instance, a locus may be associated with an abiotic factor, when adaptation is actually caused by a biotic factor such as competition, predation, or sexual selection that covaries with the environmental gradient being sampled (Rundle and Nosil 2005).

*Solution.* A priori natural history knowledge often allows a researcher to formulate specific hypotheses about which environmental gradients are important to local adaptation; these hypotheses should be used to inform the choice of environmental variables used in a study. When there are no specific hypotheses about the nature of local adaptation, researchers can choose explanatory environmental variables and avoid problems with multicollinearity by selecting one environmental variable as a representative of a correlated set (e.g., Trumbo et al. 2013). An alternative strategy is to summarize correlated environmental variables in a dimensionality reduction analysis such as principle components analysis (or others; see Lasky et al. 2012) and correlate allele frequencies with those principal components. As with any regression analysis, care should be taken in interpreting genetic-environment correlations because the causal environmental variables may be unmeasured but correlated with those included in the analysis.

### Other Statistical Challenges

#### *The Importance of Sampling Design*

Studies of the genomic basis of local adaptation are rarely designed to optimize sampling to maximize power. Optimal design requires considering the geographic scale at which local adaptation occurs in the study system, which can be established using ecological knowledge, reciprocal transplants, natural history, and knowledge from similar systems. Investigators should also consider the geographic coverage of their study: when genetic data cover only a small portion of the species range, it may be inappropriate to extrapolate results to the entire species' range (Short Bull et al. 2011; Trumbo et al. 2013).

One powerful sampling strategy includes collecting at multiple (replicated) paired locations in the species' range where there is known or strongly suspected differentiation caused by local adaptation, where gene flow should reduce differentiation of loci not under selection (e.g., Turner et al. 2010 for *Arabidopsis lyrata*; Jones et al. 2012 for sticklebacks; Foll et al. 2014 for human altitude adaptations). Sampling along transects is not optimal because much of the sampling effort merely describes intermediate populations, which contribute little to the power to detect correlations or genetic differences (Lotterhos and Whitlock 2015). However, for some study questions, transect sampling is appropriate—for example, across a zone of introgression (as in Geraldts et al. 2014) or if the population is hypothesized to be locally adapted to intermediate conditions and maladaptive at the extremes. The power of a paired design will decrease if the genetic basis of adaptation is not shared among sampled populations (Elmer and Meyer 2011; also see “Genetic Architecture of the Trait”). On the other hand, if the genetic basis of adaptation is shared among sampled populations, data from a paired design can, in some cases, greatly increase power to detect convergent evolution (as in human adaptation to high altitude; Foll et al. 2014).

Another challenge of study design is determining the number of locations and the number of individuals per location to sample. In general, the power of an analysis depends on the total number of individuals sampled (Lotterhos and Whitlock 2015). For methods that require local population allele frequencies (e.g.,  $F_{ST}$ ), sampling an appreciable number (>10) of diploid individuals from each location is important because small sample sizes will generate inaccurate local allele frequency estimates. Genetic-environment association methods may be more robust to smaller sample sizes per location if many locations that vary in the selective environment are sampled (De Mita et al. 2013; Lotterhos and Whitlock 2015). A noncomprehensive review of articles showed that most empirical studies sample between 100 and 1,000 individuals in total (from 5–40 locations), with anywhere from several hundred to hundreds of thousands of SNPs genotyped (fig. A1, available online; see “The Missing Genome: Current Genotyping and Sequencing Approaches May Fail to Identify Key Loci” for caveats of SNP density). While recent simulation studies encompass this sampling scale (fig. A1), in the near future it will be computationally challenging to simulate and analyze the genome-scale data that will be possible to collect in empirical studies.

#### *Multiple Comparisons*

Genome scans inherently involve large numbers of statistical tests, because the same test is applied to each of several thousand or million loci in the data set. The result is a dis-

tribution of  $P$  values or test statistics for that data set, and many of the identified loci will be false positives if multiple testing is not corrected for (Sham and Purcell 2014). The most common approach to deal with multiple comparisons is to use a false discovery rate (FDR; e.g., Benjamini and Hochberg 1995; Storey and Tibshirani 2003). FDR correction methods are based on the observed distribution of  $P$  values. This can be problematic because  $P$  values are based on an assumed null distribution, which has many assumptions that can be violated by real data. Thus, the stated FDR can deviate significantly from the true underlying FDR, and the FDR should consequently be interpreted carefully in light of the underlying statistical models. In some cases, the FDR can be recalibrated based on genomic inflation methods, which estimate and correct for the degree to which  $P$  values are inflated (François et al. 2016). After calibration of the FDR for a single statistical analysis, one may want to compare outliers from multiple statistical analyses. A common approach for combining results from multiple tests is to focus on the loci that are significant by more than one test, but this will likely miss loci under weak selection (Lotterhos and Whitlock 2015) and does not guarantee that the overall false discovery rate is decreased. A recent synthesis by François et al. (2016) shows how  $P$  values from different genome scans applied to the same data set may be combined if the test statistics follow a  $\chi^2$  distribution. Determining guidelines for combining results from different (but correlated) statistical tests (particularly Bayes factors and  $P$  values) is a fruitful area for future research.

#### *Sliding Window Scans*

Some of the issues in multiple comparisons, particularly that of nonindependence among linked SNPs, may be alleviated by using genome window-based methods (Kelly et al. 2013; Amorim et al. 2015; Wright et al. 2015; Fraïsse et al. 2016). These methods calculate summary statistics, such as  $F_{ST}$ , across a set of adjacent markers for defined windows across the genome and can greatly improve results of outlier analyses. Window-based methods are implemented for a number of compelling reasons: (1) SNPs at adjacent loci are nonindependent due to linkage, (2) statistical power to identify outliers is increased, and (3) sampling noise caused by spurious genotyping calls and other sampling errors is reduced. Choice of window size will influence the outcome of these studies. Large window sizes tend to create many false positives, while smaller windows tend to increase the number of false negative results (Beissinger et al. 2015). Window size would ideally be informed by genome-wide patterns of LD. However, because assessment of LD is often difficult, window size is commonly defined by physical distance of a genome (e.g., 100-kb windows; Carlson et al. 2005) or by polymorphism in the samples of the study

(e.g., 10-SNP windows; Kelly et al. 2013). To guide decisions in implementing window-based methods, Beissinger et al. (2015) recently developed a spline-based method to choose window size. Another major consideration for window-based methods is whether to calculate summary statistics for nonoverlapping distinct windows (which have the advantage of reducing the number of tests but the disadvantage of potentially splitting true outlier regions into two non-significant windows) or for overlapping sliding windows (which have the advantage of smoothing of the data but the disadvantage of highly correlated statistics between overlapping windows). How one corrects for multiple tests in a sliding window analysis will depend on the approach used. Although the field currently lacks clear guidelines for null hypothesis testing in sliding windows, they can be a powerful approach to identify putatively selected loci.

#### *Genetic Architecture of the Trait*

Genetic architecture refers to the pattern of genetic effects that underlie the phenotype, including the number of genomic regions, the recombination rates among those regions (i.e., their relative physical positions on chromosomes), the distribution of fitness effects, and the degree of redundancy, as well as patterns of dominance, pleiotropy (multiple traits affected by the same gene), and epistasis (gene interactions; Hansen 2006). Genomic heterogeneity in mutation and recombination rates can complicate tests for selection (Haas and Payseur 2016). Most simulation studies that have tested genome scans have modeled relatively simple genetic architectures in simple scenarios (from unlinked haploid loci to a phenotype affected by up to 40 loci, usually in an island model or stepping-stone model: e.g., Le Corre and Kremer 2012; de Villemereuil et al. 2014), so we have a poor understanding of how genome scans perform across more complex genetic architectures. All methods to detect local adaptation are known to be biased toward detecting loci with large effects on the phenotype.

It has been argued that most heritable variation for phenotypes is due to small-effect loci (e.g., Rockman 2012) and that the polygenic architectures that underlie quantitative traits (continuously varying phenotypes) are probably elusive to most genome scans (Barton and Keightley 2002; Stephan 2016). For polygenic traits composed of many small-effect loci, evolution at the genomic level does not necessarily follow the expectations of single-locus models (Barton and Bengtsson 1986; Latta 1998; Le Corre and Kremer 2003; Kremer and Le Corre 2012; Yeaman 2015). While single-locus (population genetic) models would predict that small-effect alleles would be swamped by migration (Lenormand 2002), these studies show that considerable phenotypic local adaptation can evolve via covariance among modest changes in allele frequencies and effect sizes.

Recent empirical studies have used machine learning algorithms (random forests) to infer groups of covarying loci that associate with environmental variables (Lasky et al. 2012; Fitzpatrick and Keller 2014; Laporte et al. 2016), but these methods have not been widely evaluated using simulations.

For highly polygenic architectures, integrating information from genome-wide association studies (GWAS) into statistical tests can be beneficial. For example, Turchin et al. (2012) showed that frequencies of alleles associated with increased human height were systematically elevated in the taller Northern European population compared with the shorter Southern Europeans. The signature of selection was validated with ancient DNA (Mathieson et al. 2015). A new method by Berg and Coop (2014) that incorporates positive covariance among like-effect alleles into a generalized test for genetic-environment associations could be a powerful approach. However, this method has not yet been systematically evaluated or widely applied.

Functionally redundant architectures may also be elusive to genome scans. A genetic architecture is redundant if different combinations of alleles at different loci can give rise to the same phenotype (Goldstein and Holsinger 1992; Nowak et al. 1997). For example, mutations in more than 25 different genes can produce dark fur in mice (Kingsley et al. 2009). Functional redundancy seems to be widespread (Nowak et al. 1997), but the most well-characterized examples are of genes with large effects on phenotypes. Redundant architectures may confound genome scans because each local bout of adaptation could involve a different genetic change, and genome scan methods assume a shared genetic basis of adaptation across the landscape. A number of studies have found nonparallel adaptation (Tiffin and Ross-Ibarra 2014), including drug resistance in malaria (Anderson and Roper 2005; Pearce et al. 2009), high-elevation adaptation in humans (Bigham et al. 2010), and melanism in deer mice (Kingsley et al. 2009). Furthermore, theory predicts that when loci are weakly selected, redundant architectures may be transient, with different loci contributing at different points in time (Yeaman 2015). Other aspects of realistic genetic architectures, such as pleiotropy and epistasis, are also likely to confound genome scans. Evaluating the performance of genome scans under more realistic scenarios is a rich area for future study.

#### **Discussion**

Identifying the number and location of loci involved in local adaptation is a starting point to characterizing the genomic basis of local adaptation (see Bergelson and Roux 2010; Tiffin and Ross-Ibarra 2014; Bragg et al. 2015). Although a correlation of the frequencies of particular alleles with environments may help to answer some questions (e.g.,

whether common adaptive evolutionary solutions are repeated in independent locations), complementary analyses and experiments are needed to develop a robust understanding of local adaptation. Researchers should consult recent reviews on ecological adaptation (Kawecki 2008; Schoville et al. 2012; Savolainen et al. 2013; Tiffin and Ross-Ibarra 2014), genetic-environment association methods (Rellstab et al. 2015), genomic resource development (Stapley et al. 2010; Ellegren 2014; Bragg et al. 2015; Manel et al. 2016), signatures of selection (Vitti et al. 2013), and mapping variation underlying adaptive phenotypes (Bergelson and Roux 2010; Barrett and Hoekstra 2011).

Differentiation outlier and genetic-environment association analyses often produce lists of hundreds of candidate loci. Each candidate locus represents an independent hypothesis that the locus is involved in local adaptation. However, few hypotheses can be experimentally tested because of the costs of functional validation. It is imperative to carefully choose the most promising variants to focus on for validation, such as variants identified in multiple analyses across data sets, or variants in coding regions or nearby regulatory regions. Other strong signatures of selection, such as locally reduced heterozygosity in the region of the locus (Maynard-Smith and Haigh 1974) or a high dN/dS ratio (Kimura 1977; Nielsen and Yang 2003), may also provide secondary validation that a locus identified in a genome scan is worthy of further scrutiny (Joost et al. 2013; Vitti et al. 2013; e.g., Yoder et al. 2014).

Functional validation involves a quantitative comparison of fitness or a trait in individuals with or without the allele(s) of interest in an appropriate environment (Barrett and Hoekstra 2011; Savolainen et al. 2013). Historically, this has been performed with transgenic techniques or with RNAi (RNA interference; e.g., Van Tyne et al. 2011; Prasad et al. 2012b). With new genome modification methods (e.g., CRISPR/Cas9; reviewed in Bono et al. 2015), allelic function can be tested in a wider range of organisms with a previously unachievable level of precision. Functional validation under field conditions is ideal but is likely impossible for most experimental systems due to logistical, legal, and ethical challenges. In these organisms, multiple independent experiments may be needed to create strong evidence that a particular locus influences a trait and is involved in adaptation.

*Future directions.* There is an ongoing need for the development of new methods for genome scans for selection and for testing their performance via simulation in various situations (e.g., varying genetic architectures, demographic histories, and selection strengths). Moreover, there is a need to integrate the different approaches (GWAS, genetic differentiation, and phenotype-environment association) into a common statistical framework (Tiffin and Ross-Ibarra 2014; Bragg et al. 2015).

As additional genomes are sequenced, it is vital to develop methods for analyzing structural variation (insertions/deletions, copy number variation, transposable elements) in conjunction with SNP variation. We also need to develop and test multivariate approaches that can detect covarying signals across multiple loci (e.g., Turchin et al. 2012; Berg and Coop 2014; Fitzpatrick and Keller 2014; Forester et al. 2015; Laporte et al. 2016), as it is still not known how frequently signals of local adaptation can be identified and attributed to particular genes (whether few or many). Moreover, in some cases, local adaptation will result from small, potentially undetectable, covarying shifts in frequency at many loci (e.g., from highly polygenic traits).

The approaches that have been used for decades for understanding local adaptation are changing. While the reciprocal transplant experiment continues to be the fundamental standard for demonstrating local adaptation, the promise of genome sequencing has increased the likelihood that investigators will start a local adaptation project with genetic data rather than phenotypic data as their first observation. We emphasized that such investigations require several preliminary steps. First, it is important to understand the demographic history, population structure, and ecology of the study organism(s) to reduce false positives and to inform sampling site locations. Second, developing genomic resources (e.g., an annotated reference genome) or leveraging existing resources (e.g., the genome of a close relative) increases the range of analyses that can be performed and the strength of inferences that can be drawn. Third, environmental variables and sampling design should be chosen with care, and strong a priori hypotheses about the agents of selection should inform the location of sampling sites.

We conclude that the careful use of genome scans can assist in identifying loci under selection but are best used in conjunction with GWAS or linkage-mapping approaches. Concerted approaches are needed to address general questions in ecology and evolutionary biology, such as what forces govern the abundance and distribution of genetic variation, whether natural selection acts on standing variation or new mutations, or what role local adaptation may play in speciation. Together, genomic technologies combined with new methodologies for analyses offer an exciting opportunity to synthesize information across biological levels of organization to address long-standing fundamental questions.

### Acknowledgments

This work was conducted as a part of the Computational Challenges in Landscape Genomics Working Group at the National Institute for Mathematical and Biological Synthesis, sponsored by the National Science Foundation (NSF) through NSF Award DBI-1300426, with additional support

from the University of Tennessee, Knoxville. This grant also supported S.H. as a postdoctoral fellow. This work was supported by the following grants: NSF 1402725 to G.B., NSF DEB-1316549 to A.S., Army Research Office W911NF-15-1-0175 to J.L.K., National Institute of General Medical Sciences R01GM098856 to L.K.R., Natural Sciences and Engineering Research Council Discovery Grant to M.W. We would also like to thank A. Hipp, K. Hoke, D. Irwin, J. R. Lasky, A. Strand, and two anonymous reviewers for helpful comments and discussions.

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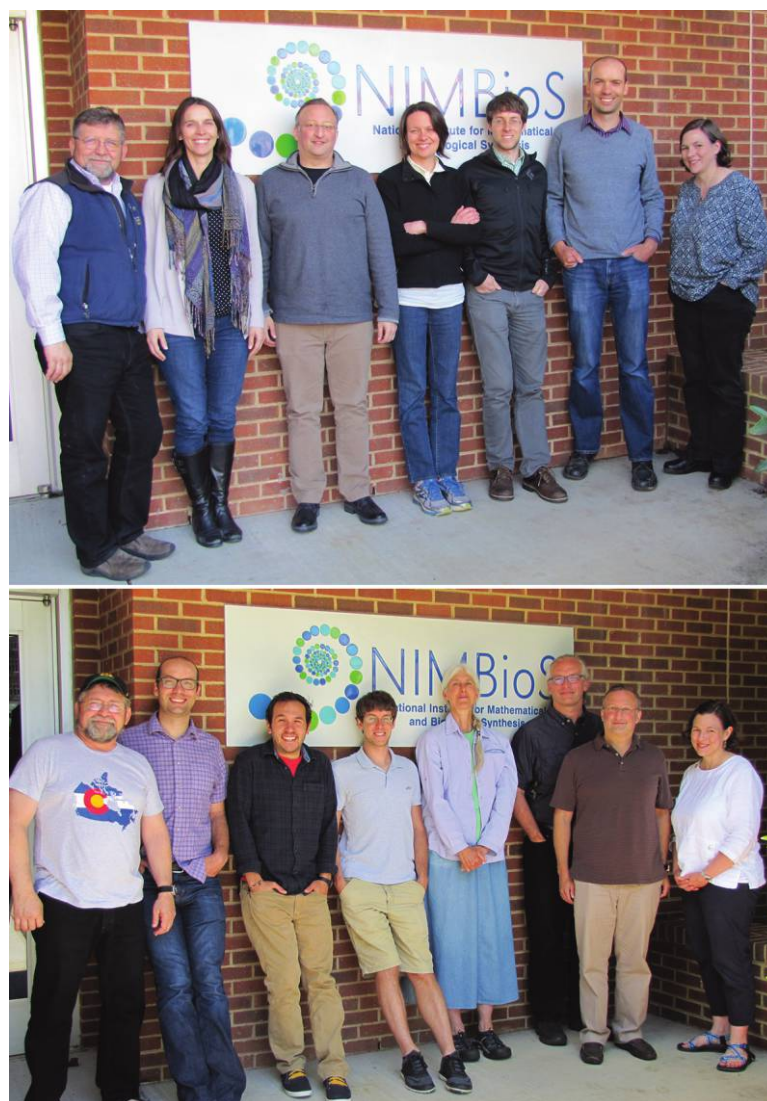
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Associate Editor: Charles F. Baer  
 Editor: Alice A. Winn



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